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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of

Christopher S. Caldwell et al.

Serial No.: 10/689,472

Filed: 10/20/03

Title: *Shrink Fit Centralizer Assembly
and Method*

§ Attorney Docket No.: RTI-5
§
§
§ Art Unit No. 3641
§
§
§ Confirmation No. 7924
§
§
§
§ Examiner: To Be Assigned
§

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants wish to make of record in the above-referenced application the references listed on the enclosed Form PTO-1449. Copies of the cited references are enclosed herewith.

Respectfully submitted,

C. James Bushman
Reg. No. 24,810

Date: August 23, 2004

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CERTIFICATE OF MAILING

I hereby certify that this correspondence and all referenced enclosures are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 23, 2004.

By: _____

Cathy Hayes

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
RTI-5

In Re Application Of: **Christopher S. Caldwell et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/689,472	10/20/03	To Be Assigned	000039703	3641	7924

Title: **Shrink Fit Centralizer Assembly and Method**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
RTI-5

In Re Application: Christopher S. Caldwell et al.

Application No. 10/689,472	Filing Date 10/20/03	Examiner To Be Assigned	Customer No.	Group Art Unit 3641	Confirmation No. 7924
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Title: Shrink Fit Centralizer Assembly and Method

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-4345 as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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(Date)

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Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

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(Date)

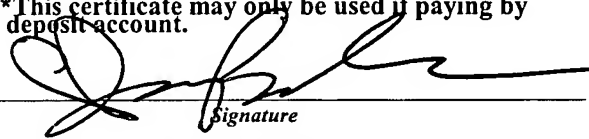
Signature of Person Mailing Correspondence

Cathy Hayes

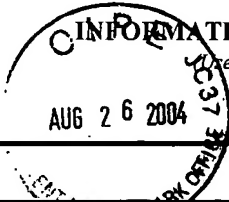
Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Dated: August 23, 2004


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				Docket Number (Optional) RTI-5		Application Number 10/689,472	
				Applicant(s) Christopher S. Caldwell et al.			
				Filing Date 10/20/03		Group Art Unit 3641	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,185,694	01/29/80	Horton			
		4,633,801	01/06/87	Marshall			
		5,336,020	08/09/94	Askestad			
		5,873,677	02/23/99	Davies et al.			
		6,305,723 B1	10/23/01	Schutz et al.			

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 2002/0084077 A1	07/04/02	Finn et al.			
		US 2003/0021634 A1	01/30/03	Munk et al.			

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS		(Including Author, Title, Date, Pertinent Pages, Etc.)
		Spar Global Responses - The Metocean and Engineering Technology Requirements for Floating Systems, 23 April 2001 - John Halkyard, CSO Aker Engineering, Inc.,

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. RTI-5		APPLICATION NO. 10/689,472		
				APPLICANT(S) Christopher S. Caldwell et al.				
				FILING DATE 10/20/03		GROUP ART UNIT 3641		
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		6,422,316 B1	07/23/02	Schutz et al.				
		6,422,791 B1	07/23/02	Pallini, Jr. et al.				
		6,467,545 B1	10/22/02	Venkataraman et al.				
U.S. PATENT APPLICATION PUBLICATIONS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
EXAMINER				DATE CONSIDERED				

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